What we need:

- o current driver's license
- o social security card
- o current medical card
- o current 2290
- o truck info: vin#, value of truck, year, make, model, purchase date and purchase amount
- o trailer info: vin#, value of truck, year, make, model, purchase date and purchase amount
- o current annual DOT inspection for the truck AND the trailer
- o signed lease agreement between you and the owner of the truck
- o completed application filled out and signed
- o completed w-9 form
- o completed direct deposit form with copy of voided check or deposit slip
- at some point we will have to get a signed owner/operator lease agreement between you and Wildcat Transport
- o We need to know if you will be operating under your name or a business name

Direct Deposit Agreement Form

Authorization Agreement

I hereby authorize **Wildcat Transport LLC** to initiate automatic deposits to my account at the financial institution named below. I also authorize **Wildcat Transport LLC** to make withdrawals from this account in the event that a credit entry is made in error.

Further, I agree not to hold **Wildcat Transport LLC** responsible for any delay or loss of funds due to incorrect or incomplete information supplied by me or by my financial institution or due to an error on the part of my financial institution in depositing funds to my account.

This agreement will remain in effect until **Wildcat Transport LLC** receives a written notice of cancellation from me or my financial institution, or until I submit a new direct deposit form to the Payroll Department.

Account Information		
Name of Financial Institution:		
Routing Number:		
Account Number:	Checking	Savings
Signature		
Authorized Signature (Primary):	Date: _	
Authorized Signature (Joint):	Date:	

Please attach a voided check or deposit slip and return this form to the Payroll Department.

DRIVER'S QUALIFICATION PROFILE

(Driver Application for Employment)



4006 NW LINDEN ROAD Kansas City, MO 64151 (785) 307-1591

In compliance with federal and state equal employment opportunity laws, qualified applicants are considered for all positions without regard to race, color, religion, age, sex, national origin, marital status or non-job related disabilities. Substance and Alcohol Testing is required of application driver if operating CDL equipment (GVWR 26.001 # or greater).

Date		Telephone Number with Area Code				
Last Name	First Na	ime	Mic	ddle Initia	al	
			D (B)			
Complete Social Security Number	•		Date of Birth			
Address – Number & Street Note: If you have resided at the above address for lo (including number & street, city, state, zip code, and		Zip Code list all addresses	Length of Time at s of residence in last thre			
Driver's License Number	State		Expiration Date			
			pa	Υ	N	
Are you 21 years of age or older?						
Can you provide proof of age?						
Have you ever worked for this company before?						
Are you currently employed?						
If you are currently employed, may we contact your	current employer?					
If you are not currently employed, what was the las	t day you worked for your la	ast employer?				
Check Yes or No to the following three questions.				Υ	N	
Have you ever been denied a license, permit or priv	ilege to operate a motor ve	hicle?				
Have you ever had a license, permit or privilege reve	oked or suspended?					
Have you ever been convicted of a felony?						
If any of the above questions	s are answered YES, please	attach a stateme	ent with details	_		

Page 1

Accident Record

Date of Accident		was the nature of th	there Record- the			Were their Injuries	Prevent- able	Charge- able
		Traffic Convictio	ns and Forfeitures fo	r the Past Three \	ears	1		
Date	Location		Charge				Penalt	У
State	License N	List all drivers'	Experience – Qualific licenses issued to you Type of Eq	in the past five y		Dates	Nur	oximate mber of Miles
I certify the or his age Subpart Control furnishing demonstrate may be control for policies of the control for	ates you have operate at I have read and uents may investigate 2 & Subpart F. I release such information. I rate that I am capable onditioned on the rest the employer and the fies that I completed e.	understand all of this my background to ase all employers a understand that as e of performing tasl sults of a physical e hose agencies whic	s employment appli ascertain any and a nd other persons na an applicant for a p ks, which are pertin xamination and dru th regulate this emp	cation. It is agre Il information of amed herein, from position with this ent to the job. I a g test. If hired, I loyer.	ed and un concern p m all liabil company also under agree to	er FMCS ity for dar , I may be stand tha abide by	A Regulation mages by easked to at if offered all the rules	a job, it
	Date			Ap	plicant's Si	gnature		

Employment History

All driver applicants, to drive in interstate commerce, must provide the following information on all employers during the preceding three years. Applicants to drive a commercial motor vehicle in intrastate and interstate commerce shall also provide an additional seven years information on those employers for whom the applicant operated such vehicles. Failure to list all previous employers for the preceding ten years, applicant will not be considered for employment. List with your most recent employment shown first. Failing to list telephone numbers for those employers will delay the processing.

List your most recent employer first then work backwards showing all employers for past 3 years (Non-CDL) or 10 years (CDL)

Employer Name	Was your previous employer subject to FMCSA?	Did you ever test for your previous employer under the guidelines of the FMCSA?	Address, City & State	Telephone Number	Dates of Employment	Position	Reason for Leaving

This certifies that this application was completed by me, and that all e	entries on it are true and complete to the best of my knowledge. I authorize you to make such investigations and inquiries per
FMCSA Regulations stated in Subpart C-Background & Character and S	Subpart F Files & Records (391) necessary in arriving at an employment decision. (Generally, inquiries regarding medical history
will be made only if and after a conditional offer of employment has b	been extended.) I hereby release employers, health care providers and other persons from all liability in responding to inquiries
and releasing information in connection with my application. In the e	event of the employment, I understand that false or misleading information given in my application or interview's) may result in
discharge/termination. I understand, also that I am required to abide	by all rules and regulations of the company.
Date	Applicant's Signature

Employer Name	Was your previous employer subject to FMCSA?	Did you ever test for your previous employer under the guidelines of the FMCSA?	Address, City & State	Telephone Number	Dates of Employment	Position	Reason for Leaving

Motor Vehicle Records

(Driver's License and Vehicle Title/Registration Records)

3rd Party Consent

(Please print or type)

I hereby certify that my name	is		
	(First Name)	(Middle Initial)	(Last Name)
I further certify that my date o	of birth is:	My Driver's License Number is:	
My Current address is:	reet) (Apartment/Unit)	(Cit.)	(54-4-) (710)
(50	reet) (Apartment/Unit)	(City)	(State) (ZIP)
and my telephone number is:			
I hereby authorize: Wildca	at Transport, LLC		
to obtain my driver's license re	ecord and/or vehicle registra	tion information including my persona	l information on those records.
(Date)		(Signate	ure)

U.S. DEPARTMENT OF TRANSPORTATION MOTOR CARRIER SAFETY PROGRAM INQUIRY TO STATE AGENCY FOR DRIVER'S RECORD 391.23

(Drivers Name)
(Driver's Operator License Number)
(Driver's Social Security Number)

The above listed individual has made application with us for employment as a driver. Applicant has indicated that the above numbered operator's license or permit has been issued by your State to applicant and that it is in good standing.

In accordance with Section 391.23(a)(1) and (b) of the Federal Motor Carrier Safety Regulations, we are required to make inquiry into the driving record during the preceding 3 years of every State in which the applicant-driver has held a motor vehicle operator's license or permit during those three years.

Therefore, please certify to us what the individual's driving record is for the preceding 3 years, or certify that no record exists if that be the case.

In the event that this inquiry does not satisfy your requirements for making such inquiries, please send us such forms of yours as are necessary for us to complete our inquiry into the driving record of this individual.

Respectfully Yours, Wildcat Transport, LLC Signature of individual making inquiry Wildcat Transport, LLC Name of person making inquiry Safety Consultant Title of person making inquiry Wildcat Transport, LLC **Motor Carrier Name** 4006 NW Linden Road **Kansas City** MO 64151 State Street City Zip

MOTOR VEHICLE DRIVER'S CERTIFICATE OF VIOLATIONS AND ANNUAL REVIEW OF DRIVING RECORD

MOTOR CARRIER INSTRUCTIONS: Each motor carrier shall at least once every twelve months, require each driver it employs to prepare and furnish it with a list of all violations of motor vehicle traffic laws and ordinances (other than violations involving only parking) of which the driver has been convicted, or on account of which he/she has forfeited bond or collateral during the preceding twelve months (Section 391.27). Drivers who have provided information required by section 383.31 need not repeat that information on this form.

DRIVER REQUIREMENTS: Each driver shall furnish the list as required by the motor carrier above. If the driver has not been convicted of, or forfeited bond or collateral on account of any violation which must be listed, he/she shall so certify (section 391.27).

of, or forfeited bond or collateral on account of any violation which m	ust be listed, he/she shall so certify (section 391.27).
COMPLETED BY DRIVER – CERTI	FICATION OF VIOLATIONS
Name of Driver: (Print)	
Social Security Number:	Date of Employment:
Home Terminal (City & State):	
Driver's License Number:	State: Expiration Date:
I certify that the following is a true and complete list of traffic violatio under Part 383) for which I have been convicted or forfeited bond or violations, please state so below.) Date Offense	
Check this box if you have had no traffic violations in the past If you have had more than two violations in the past one year – plea If no violations are listed above, I certify that I have not been convicte (Other than those I have provided under part 383) required to be listed Date of Certification: Drivers Signar	ise give the information required above on the reverse. Indicate of or forfeited bond or collateral on account of any violation and during the past twelve months.
(Today's Date)	ture.
COMPLETED BY MOTOR CARRIER – ANN	IUAL REVIEW OF DRIVING RECORD
MOTOR CARRIER INSTRUCTIONS: Review the Certification of Violation 391.25 of the Federal Motor Carrier Safety Regulations. Complete the	
I hereby reviewed the driving record of the above named driver in accommodate and the Minimum requirements for safe driving Is disqualified to drive a motor vehicle pursuant to section and the Does not adequately meet satisfactory safe driving perform	391.25
Action taken with driver:	
Reviewed By:	Data
Signature	Date
	Safety Consultant
Printed Name	Title
Wildcat Transport, LLC 400	6 NW Linden Road, Kansas City, MO 64151
Motor Carrier Name	Motor Carrier Address
Maintain this document in the Driver's Qualification file. This docume	ent may be purged after three years from date of execution.

Part 1:		TO BE COMPLETED B	Y PROSPECTIVE EMPLOYEI	E
I, (Print Name)				
i, (i iiiic ivaiiie)	First	Middle Initial	Last	Social Security Number
Haraby Authoriz	٥.			Date of Birth
nereby Authorize	e			
Previous Employ	er:			Email Address
				Email Address
Street:				Telephone Number
City State 7in:				·
city, state, zip				Fax Number
To release and fo	orward the informat	ion requested by section 3 of	this document concerning	my Alcohol and Controlled Substances
testing records w	vithin the previous 3	s years.		
T	Donation Francis	NACE - LANCE	Transport II C	
То:			-	
	Attention <u>: Wild</u>	cat Transport, LLC	Telephone:	(785) 307-1591
	Street: 4006	NW Linden Road		
	City, State, Zip:	Kansas City, MO 64151		
In compliance wi		23(h), release of this informa	tion must be made in a wri	itten form that ensures confidentiality,
Prospective emp	loyer's fax number:			
Prospective emp	lover's email addre	SS:		
	,			
	Date This in	formation is being requested i	Applicant's s	•
		- '	on and Inquiries – Exception	
		ment experience working for	a DOT regulated employer	during the preceding three years,
	_	n was possible must be placed :he drivers' employment begi		igation file, after October 29, 2004
If employ	ee has been with W	/ildcat Transport, LLC for 3 ve	ars or longer from the dat	e of completing this application, please
	s box and proceed t		and or roughly more date	

Da		2
12	ırı	2

TO BE COMPLETED BY PREVIOUS EMPLOYER

Accident History

The applicant nam	ned was employed by us.	Yes	No		
The applicant was	employed as a:		_ From: (M/Y)	To: (M/Y)	
1. Did he/she driv	rer a motor vehicle for you? Yes	No	If yes, what type	?	
Tractor-Semitraile	r Bus Cargo	Tank Doubles	s/Triples	Other (specify)	
2. Reason for leav	ring your employ: Discharged	Resignation	Lay off l	Military Duty	
If there is no safet	y performance history to report	, check here, si	gn below and retu	ırn.	
	plete the following for any accide o the application date show or c				
Date	Location	# Of Inju	uries # Of Fat	alities Hazmat Spi	II (Y/N)
1.					_
2.					_
3					_
	ormation concerning any other a ed under internal company polic		ne applicant that w	vere reported to gove	rnment agencies or
		Signatu	re:		
		Title:			Date:

Part 3:	TO BE COMPLETED BY PREVIOUS EMPLOYER		
	driver was not subject to Department of Transportation testing requirements while employed by this employer check here e dates of employment from to complete bottom of Part 3, sign, and return.		
Driver w	vas subject to Department of Transportation testing requirements from to		
1.	Has this person had an alcohol test with the result of 0.04 or higher alcohol concentration? Yes No		
2.	Has this person tested positive for adulterated specimen or substituted a test specimen for controlled substances? Yes No		
3.	Has this person refused to submit to a post-accident, random, reasonable suspicion, or follow-up alcohol or controlled substance test? Yes No		
4.	Has this person committed other violations of Subpart B of Part 382 or Part 40? Yes No		
5.	If this person has violated a DOT drug and alcohol regulation, did this person complete a SAP-prescribed rehabilitation program in your employ, including return-to-duty and follow up tests? Yes NoIf yes, please send documentation back with this form.		
6.	For a driver who successfully completed a SAP's rehabilitation referral and remained in your employ, did this driver subsequently have an alcohol test result of 0.04 or greater, a verified positive drug test, or refused to be tested? Yes No		
	ering these questions, include any required DOT drug or alcohol testing information obtained from previous employers in the s 3 years prior to the application date shown on page 1.		
Name: _			
Compan	ny:		
Street: _			
City, Sta	te, Zip: Telephone:		
Part 3 Co	ompleted by (Signature): Date:		
Part 4a:	TO BE COMPLETED BY PROSPECTIVE EMPLOYER		
This forr	m was (check one): Faxed to previous employer Mailed Emailed Other		
By:	Date:		

Part 4b: TO BE COMPLETED BY PROSPECTIVE EMPLOYER		
Complete below when information is ob	otained:	
Information received from:		
Recorded by:	Method: Fax Mail Email Telephone	
Date: Oth	ner:	

INSTRUCTIONS TO COMPLETE THE SAFETY PERFORMANCE HISTORY RECORDS REQUEST

Page 1 - Part 1: Prospective Employee

- Complete the information required in this section
- Sign and date
- Submit to the Prospective Employer

Page 4a: Prospective Employer

- Complete the information
- Send to Previous Employer

Part 2: Previous Employer

- Complete the information required in this section
- Sign and Date
- Complete Section 3

Part 3: Previous Employer

- Complete the information required in this section
- Sign and Date
- Return to Prospective Employer

Part 4b: Prospective Employer

- Record receipt of information
- Retain the form

CARRIERS DRIVER STATEMENT OF ON-DUTY HOURS

(For Newly-Hired or Intermittent Drivers)

INSTRUCTIONS: Motor carriers when using a driver for the first time shall obtain from the driver a signed statement giving the total on-duty time during the immediately preceding seven days and time at which such driver was last relieved from duty prior to beginning work for such carrier. Rule 395.8(j)(2) Federal Motor Carrier Safety Regulations

NOTE: Hours for any compensated work during the preceding seven days, including work for a non-motor carrier entity, must be

recorded on this form. Driver Name (PRINT): Social Security Number: _____ Motor Vehicle Operator's License Number: Type of License: ______ Issuing State: _____ Compensated Work Time (Prior 7 Days) 2 3 4 5 6 7 **Total Hours** I hereby certify that the information given above is correct to the best of my knowledge and belief, and that I was last relieved from work at: _____ (AM) (PM) Time Are you currently working for another employer? Yes____ No___ At this time do you intend to work for another person while still employed by this company? Yes____ No___ I hereby certify that the information given is true and I understand that once I become employed with the company, if I begin work for any additional employer(s) for compensation that I must inform this company immediately of such employment activity. (395.2(8) and (9)) Date Driver's Signature Date Witness Signature

Wildcat Transport, LLC 4006 NW Linden Road Kansas City, MO 64151

Privacy Form

Date:	
By signing this form you authorize Driveby Transport, LLC to obtain information will be kept private and confidential and will only be us FMCSA.	
Driver's Name (PLEASE PRINT)	-
Driver's Signature	_
Wildcat Transport, LLC Representative	

RECORD OF ROAD TEST

Drivers Name:		
License Number:		
Date of Test:		
Check if condition	satisfactory. If driver needs training place X	in column and give explanation.
	Pre-Trip Inspection and Emergency Equipme	nt required by 392.7
	Coupling and Uncoupling of combination un	ts; if the equipment he/she may drive includes combination units.
	Placing of commercial motor vehicle in opera	tion.
	Use of the commercial motor vehicle's control	ols and emergency equipment.
	Operating the commercial motor vehicle in t	raffic and while passing other vehicles
	Turning the commercial motor vehicle.	
	Braking, and slowing the commercial motor	vehicle.
	Certification of Road Test per 3	391.31
Driver's Name		
Social Security #		
License Number		
State		
Type of Power Un	it	
Type of Trailer		
This is to certify that the above-named driver was given a road test under my supervision on (date): miles of driving.		
It is my considered opinion that this driver possesses sufficient driving skills to operate safely the type of commercial motor vehicle listed above.		
Signat	ture of examiner	Title
Wildca	at Transport, LLC	4006 NW Linden Road, Kansas City, MO 64151
(Cor	mpany Name)	(Company Address)

Wildcat Transport, LLC 4006 NW Linden Road Kansas City, MO 64151

TO WHOM IT MAY CONCERN

Driver's Name
Driver is authorized by Driveby Transport, LLC to log meal and coffee break periods in excess of 15 minutes in duration as "OFF DUTY" time under the following conditions:
1.) The vehicle is safely and legally parked with the engine "OFF" and the cab locked while the driver is away from the vehicle.
2.) During the stop, and for the duration of the stop, the above named driver is at liberty to pursue activities of his/her choosing.
There are no record retention requirements for the driver to have written authorization onboard the vehicle in order to log meals and breaks off-duty. The original of this document will be retained in the Driver Qualification file.
This authorization relieves the above named driver of responsibility for the care and custody of the vehicle, its accessories and any cargo it may be carrying, during the qualifying "OFF DUTY" periods.
Every driver is reminded that the relief from duty outlined above is intended solely to provide an opportunity to rest and relax from the rigors associated with the operation of a commercial motor vehicle.
Date Driver's Signature
Date Wildcat Transport, LLC – Authorizing Signature

MOTOR CARRIER: Wildcat Transport, LLC			
Applicant Name		Social Security Number	
•		·	
Pre-Employment Controlled Substances testing described Company, on the appointed date and time.	ister a prescribed controlled substand t may be tendered to you, nor may el ompany has been advised of the resul	USDOT Federal Motor Carrier Safety ces test as part of the mandatory premployment commence until the tts, which must be NEGATIVE. I agree to	
I understand that the results of this testing procedure Review Officer, and me. I also understand that a POSI for The Company and therefore will exclude me from by the controlled substances testing requirements and	ITIVE result will disqualify me from op the position applied for. I have read	peration of a Commercial Motor Vehicle and understand the conditions imposed	
DRIVER RECEIPT OF D	RUG AND ALCOHOL EDUCATIONAL	MATERIALS	
INSTRUCTIONS: FMCSR Part 382.601 require The Com	npany to provide all company drivers	with educational material regarding drug	
and alcohol use and abuse, and the rules and regulations of the Department of Transportation which may apply to The Companies drivers. This form will document receipt of the required materials.			
TO THE DRIVER: The FMCSR require that each driver of form will be maintained for an indefinite period of time mandated drug and alcohol testing program. Drivers	ne in a file with other company record	ds being maintained pertaining to the	
The undersigned herby certifies the receipt of the edu with 49 CFR Part 382.601. I acknowledge and agree the company policies and Depart of Transportation regular agree to full and unconditional compliance with the D drug and alcohol use and testing. I further understand violating Department of Transportation and / or The Compliance	hat I am responsible for reading, und ations regarding drug and alcohol use epartment of Transportation regulat d and agree that I may be subject to o	erstanding, and complying with all e, and the mandatory testing programs. I ions and The Company's policies regarding	
Any questions or comments on drug and alcohol policin the material provided to you.	ies should be referred to the Drug ar	nd Alcohol Program contact person listed	
Prior to signing this receipt, I read it care	fully and had an opportunity to ask o	questions regarding its content.	
Date	Applic	cant's Signature	
Date	Signature – Witnes	s: Company Representative	
PLEASE SEE	ATTACHED SHEET FOR TEST RESULT	s	
CHECK THIS BOX IF THE APPLICANT IS A N	ON-CDL DRIVER		

PRE-EMPLOYMENT DRUG AND ALCOHOL STATEMENT

Previous Pre-Employment History of Applicant

CFR 49 Sec. 40.25(j): As an employer, you must ask the employee whether he or she has tested positive, or refused to test, on any pre-employment drug or alcohol test administered by an employer to which the employee applied for, but did not obtain, safety-sensitive transportation work covered by Department of Transportation drug and alcohol testing rules during the past two years. If the employee admits that he or she had a positive test or a refusal to test, you must not use the employee to perform safety-sensitive functions for you, until and unless the employee documents successful completion of the return-to-duty process. (See paragraphs (b)(5) and (e) of Section 40.25)

Wildcat Transport, LLC 4006 NW Linden Road Kansas City, MO 64151

Applicant Name:				
Social Security Number:				
The prospective employee is required	by Section 40.24	1(j) to respond to the following questions:		
which you applied for, but di	 Have you tested positive, or refused to test, on any pre-employment drug or alcohol test administered by an employer to which you applied for, but did not obtain safety sensitive transportation work covered by Department of Transportation drug and alcohol testing during the past two years? 			
Yes No				
2. If you answered Yes to question one, can you provide or obtain proof that you successfully completed the Department of Transportation Return-To-Duty requirements?				
Yes No				
	_			
Date		Signature of Applicant		
	_			
Date		Signature of Witness		



PSP Online Service

In connection with your application for employment with **Wildcat Transport, LLC**, it may obtain one or more reports regarding your driving, and safety inspection history from the Federal Motor Carrier Safety Administration (FMCSA). If **Wildcat Transport, LLC** uses any information it obtains from FMCSA in a decision to not hire you or to make any other adverse employment decision regarding you, **Wildcat Transport, LLC** will provide you with a copy of the report upon which its decision was based and a written summary of your rights under the Fair Credit Reporting Act before taking any final adverse action. If any final adverse action is taken against you based upon your driving history or safety report,

Wildcat Transport, LLC will notify you that the action has been taken and that the action was based in part or in whole on this report. **Wildcat Transport, LLC** cannot obtain background reports from FMCSA unless you consent in writing. If you agree that **Wildcat Transport, LLC** may obtain such background reports, please read the following and sign below:

I authorize **Wildcat Transport, LLC** to access the FMCSA Pre-Employment Screening Program (PSP) system to seek information regarding my commercial driving safety record and information including regarding my safety inspection history. I understand that I am consenting to the release of safety performance information including crash data from the previous five (5) years and inspection history from the previous three (3) years. I understand and acknowledge that this release of information may assist the prospective Employer to make a determination regarding my suitability as an employee.

I further understand that **Wildcat Transport, LLC** nor the FMCSA contractor supplying the crash and safety information has the capability to correct any safety data that appears to be incorrect. I understand o may challenge the accuracy of the data by submitting a request to https://dataqs.fmcsa.dot.gov/. If I am challenging crash or inspection information reported by a State, FMCSA cannot change or correct this data. I understand my request will be forwarded by the DataQs system to the appropriate State for adjudication.

sign this consent form, Wildcat Transport, LLC may ob	provided to me by Wildcat Transport, LLC and I understand that if I provided to me by Wildcat Transport, LLC and I understand that if I provided to the information authorized above.
Driver's Name (Please Print)	
Driver Signature	Date
Witness Signature	Date

Verification In Lieu of Pre-employment Drug Test As Permitted Under 49 CFR 382.301 (c) (1)

Wildcat Transport, LLC	
4006 NW Linden Road	
Kansas City, MO 64151	
785-307-1591	
I hereby authorize the testing p (1).	program listed below to release information listed in 382.301 (c)
Driver Name:	
CDL License Number:	
Driver's Signature:	
	O SUBSTANCES TESTING PROGRAM
City:	State: Zip:
Voice:	Fax:
This is to verify that the driver program for the past	listed above has participated in our controlled substances testing months.
The testing program conformed under Part 382 and did not refu	d to 49 CFR Parts 40 and 382. The driver was properly qualified use to take a test.
The date the driver was last tes	sted for controlled substances:
The results of any controlled so	ubstances tests taken within the last six months:
Any other violations of 382 Su	bpart B:
	Date



Request for Taxpayer Identification Number and Certification

Give Form to the requester. Do not send to the IRS.

Internal	neverlue Service			
	Name (as shown or	n your income tax return)	-	
ge 2.	Business name/disregarded entity name, if different from above			
Print or type See Specific Instructions on page	Check appropriate box for federal tax classification: Individual/sole proprietor			
Print or type c Instructions	Limited liability company. Enter the tax classification (C=C corporation, S=S corporation, P=partnership) ► Other (see instructions) ►			
P		· · · · · · · · · · · · · · · · · · ·	ster's name and address	(optional)
See Spe	City, state, and ZIP code			
	List account number	er(s) here (optional)		
Par	Taxpa	yer Identification Number (TIN)		
Enter	your TIN in the ap	propriate box. The TIN provided must match the name given on the "Name" line	Social security numb	er
reside entitie	nt alien, sole prop s, it is your emplo	Iding. For individuals, this is your social security number (SSN). However, for a prietor, or disregarded entity, see the Part I instructions on page 3. For other yer identification number (EIN). If you do not have a number, see <i>How to get a</i>	-	-
	page 3.	A Constitution of the state of	Employer identification	on number
Note. If the account is in more than one name, see the chart on page 4 for guidelines on whose number to enter. Employer identification number to enter.				
Part	II Certifi	cation		
Under	penalties of perju	ıry, I certify that:		
1. The	e number shown o	on this form is my correct taxpayer identification number (or I am waiting for a num	ber to be issued to me	e), and
2. I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding, and				
3. I ar	n a U.S. citizen or	other U.S. person (defined below).		
becau interes genera instruc	se you have failed at paid, acquisition	ons. You must cross out item 2 above if you have been notified by the IRS that you do to report all interest and dividends on your tax return. For real estate transactions on abandonment of secured property, cancellation of debt, contributions to an independent and dividends, you are not required to sign the certification, but you	, item 2 does not app dividual retirement arr	y. For mortgage angement (IRA), and
Sign Here	Signature of U.S. person			

General Instructions

Section references are to the Internal Revenue Code unless otherwise noted.

Purpose of Form

A person who is required to file an information return with the IRS must obtain your correct taxpayer identification number (TIN) to report, for example, income paid to you, real estate transactions, mortgage interest you paid, acquisition or abandonment of secured property, cancellation of debt, or contributions you made to an IRA.

Use Form W-9 only if you are a U.S. person (including a resident alien), to provide your correct TIN to the person requesting it (the requester) and, when applicable, to:

- 1. Certify that the TIN you are giving is correct (or you are waiting for a number to be issued),
 - 2. Certify that you are not subject to backup withholding, or
- 3. Claim exemption from backup withholding if you are a U.S. exempt payee. If applicable, you are also certifying that as a U.S. person, your allocable share of any partnership income from a U.S. trade or business is not subject to the withholding tax on foreign partners' share of effectively connected income.

Note. If a requester gives you a form other than Form W-9 to request your TIN, you must use the requester's form if it is substantially similar to this Form W-9.

Definition of a U.S. person. For federal tax purposes, you are considered a U.S. person if you are:

- An individual who is a U.S. citizen or U.S. resident alien,
- A partnership, corporation, company, or association created or organized in the United States or under the laws of the United States,
- An estate (other than a foreign estate), or
- A domestic trust (as defined in Regulations section 301.7701-7).

Special rules for partnerships. Partnerships that conduct a trade or business in the United States are generally required to pay a withholding tax on any foreign partners' share of income from such business. Further, in certain cases where a Form W-9 has not been received, a partnership is required to presume that a partner is a foreign person, and pay the withholding tax. Therefore, if you are a U.S. person that is a partner in a partnership conducting a trade or business in the United States, provide Form W-9 to the partnership to establish your U.S. status and avoid withholding on your share of partnership income.

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The person who gives Form W-9 to the partnership for purposes of establishing its U.S. status and avoiding withholding on its allocable share of net income from the partnership conducting a trade or business in the United States is in the following cases:

- The U.S. owner of a disregarded entity and not the entity,
- The U.S. grantor or other owner of a grantor trust and not the trust, and
- The U.S. trust (other than a grantor trust) and not the beneficiaries of the trust.

Foreign person. If you are a foreign person, do not use Form W-9. Instead, use the appropriate Form W-8 (see Publication 515, Withholding of Tax on Nonresident Aliens and Foreign Entities).

Nonresident alien who becomes a resident alien. Generally, only a nonresident alien individual may use the terms of a tax treaty to reduce or eliminate U.S. tax on certain types of income. However, most tax treaties contain a provision known as a "saving clause." Exceptions specified in the saving clause may permit an exemption from tax to continue for certain types of income even after the payee has otherwise become a U.S. resident alien for tax purposes.

If you are a U.S. resident alien who is relying on an exception contained in the saving clause of a tax treaty to claim an exemption from U.S. tax on certain types of income, you must attach a statement to Form W-9 that specifies the following five items:

- 1. The treaty country. Generally, this must be the same treaty under which you claimed exemption from tax as a nonresident alien.
 - 2. The treaty article addressing the income.
- 3. The article number (or location) in the tax treaty that contains the saving clause and its exceptions.
- 4. The type and amount of income that qualifies for the exemption from tax.
- 5. Sufficient facts to justify the exemption from tax under the terms of the treaty article.

Example. Article 20 of the U.S.-China income tax treaty allows an exemption from tax for scholarship income received by a Chinese student temporarily present in the United States. Under U.S. law, this student will become a resident alien for tax purposes if his or her stay in the United States exceeds 5 calendar years. However, paragraph 2 of the first Protocol to the U.S.-China treaty (dated April 30, 1984) allows the provisions of Article 20 to continue to apply even after the Chinese student becomes a resident alien of the United States. A Chinese student who qualifies for this exception (under paragraph 2 of the first protocol) and is relying on this exception to claim an exemption from tax on his or her scholarship or fellowship income would attach to Form W-9 a statement that includes the information described above to support that exemption.

If you are a nonresident alien or a foreign entity not subject to backup withholding, give the requester the appropriate completed Form W-8.

What is backup withholding? Persons making certain payments to you must under certain conditions withhold and pay to the IRS a percentage of such payments. This is called "backup withholding." Payments that may be subject to backup withholding include interest, tax-exempt interest, dividends, broker and barter exchange transactions, rents, royalties, nonemployee pay, and certain payments from fishing boat operators. Real estate transactions are not subject to backup withholding.

You will not be subject to backup withholding on payments you receive if you give the requester your correct TIN, make the proper certifications, and report all your taxable interest and dividends on your tax return.

Payments you receive will be subject to backup withholding if:

- 1. You do not furnish your TIN to the requester,
- 2. You do not certify your TIN when required (see the Part II instructions on page 3 for details),
 - 3. The IRS tells the requester that you furnished an incorrect TIN,
- 4. The IRS tells you that you are subject to backup withholding because you did not report all your interest and dividends on your tax return (for reportable interest and dividends only), or
- 5. You do not certify to the requester that you are not subject to backup withholding under 4 above (for reportable interest and dividend accounts opened after 1983 only).

Certain payees and payments are exempt from backup withholding. See the instructions below and the separate Instructions for the Requester of Form W-9.

Also see Special rules for partnerships on page 1.

Updating Your Information

You must provide updated information to any person to whom you claimed to be an exempt payee if you are no longer an exempt payee and anticipate receiving reportable payments in the future from this person. For example, you may need to provide updated information if you are a C corporation that elects to be an S corporation, or if you no longer are tax exempt. In addition, you must furnish a new Form W-9 if the name or TIN changes for the account, for example, if the grantor of a grantor trust dies.

Penalties

Failure to furnish TIN. If you fail to furnish your correct TIN to a requester, you are subject to a penalty of \$50 for each such failure unless your failure is due to reasonable cause and not to willful neglect.

Civil penalty for false information with respect to withholding. If you make a false statement with no reasonable basis that results in no backup withholding, you are subject to a \$500 penalty.

Criminal penalty for falsifying information. Willfully falsifying certifications or affirmations may subject you to criminal penalties including fines and/or imprisonment.

Misuse of TINs. If the requester discloses or uses TINs in violation of federal law, the requester may be subject to civil and criminal penalties.

Specific Instructions

Name

If you are an individual, you must generally enter the name shown on your income tax return. However, if you have changed your last name, for instance, due to marriage without informing the Social Security Administration of the name change, enter your first name, the last name shown on your social security card, and your new last name.

If the account is in joint names, list first, and then circle, the name of the person or entity whose number you entered in Part I of the form.

Sole proprietor. Enter your individual name as shown on your income tax return on the "Name" line. You may enter your business, trade, or "doing business as (DBA)" name on the "Business name/disregarded entity name" line.

Partnership, C Corporation, or S Corporation. Enter the entity's name on the "Name" line and any business, trade, or "doing business as (DBA) name" on the "Business name/disregarded entity name" line.

Disregarded entity. Enter the owner's name on the "Name" line. The name of the entity entered on the "Name" line should never be a disregarded entity. The name on the "Name" line must be the name shown on the income tax return on which the income will be reported. For example, if a foreign LLC that is treated as a disregarded entity for U.S. federal tax purposes has a domestic owner, the domestic owner's name is required to be provided on the "Name" line. If the direct owner of the entity is also a disregarded entity, enter the first owner that is not disregarded for federal tax purposes. Enter the disregarded entity's name on the "Business name/disregarded entity name" line. If the owner of the disregarded entity is a foreign person, you must complete an appropriate Form W-8.

Note. Check the appropriate box for the federal tax classification of the person whose name is entered on the "Name" line (Individual/sole proprietor, Partnership, C Corporation, S Corporation, Trust/estate).

Limited Liability Company (LLC). If the person identified on the "Name" line is an LLC, check the "Limited liability company" box only and enter the appropriate code for the tax classification in the space provided. If you are an LLC that is treated as a partnership for federal tax purposes, enter "P" for partnership. If you are an LLC that has filed a Form 8832 or a Form 2553 to be taxed as a corporation, enter "C" for C corporation or "S" for S corporation. If you are an LLC that is disregarded as an entity separate from its owner under Regulation section 301.7701-3 (except for employment and excise tax), do not check the LLC box unless the owner of the LLC (required to be identified on the "Name" line) is another LLC that is not disregarded for federal tax purposes. If the LLC is disregarded as an entity separate from its owner, enter the appropriate tax classification of the owner identified on the "Name" line.

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Other entities. Enter your business name as shown on required federal tax documents on the "Name" line. This name should match the name shown on the charter or other legal document creating the entity. You may enter any business, trade, or DBA name on the "Business name/ disregarded entity name" line.

Exempt Payee

If you are exempt from backup withholding, enter your name as described above and check the appropriate box for your status, then check the "Exempt payee" box in the line following the "Business name/disregarded entity name," sign and date the form.

Generally, individuals (including sole proprietors) are not exempt from backup withholding. Corporations are exempt from backup withholding for certain payments, such as interest and dividends.

Note. If you are exempt from backup withholding, you should still complete this form to avoid possible erroneous backup withholding.

The following payees are exempt from backup withholding:

- 1. An organization exempt from tax under section 501(a), any IRA, or a custodial account under section 403(b)(7) if the account satisfies the requirements of section 401(f)(2),
 - 2. The United States or any of its agencies or instrumentalities,
- 3. A state, the District of Columbia, a possession of the United States, or any of their political subdivisions or instrumentalities,
- 4. A foreign government or any of its political subdivisions, agencies, or instrumentalities, or
- 5. An international organization or any of its agencies or instrumentalities.

Other payees that may be exempt from backup withholding include:

- 6. A corporation,
- 7. A foreign central bank of issue,
- 8. A dealer in securities or commodities required to register in the United States, the District of Columbia, or a possession of the United States
- 9. A futures commission merchant registered with the Commodity Futures Trading Commission,
 - 10. A real estate investment trust,
- 11. An entity registered at all times during the tax year under the Investment Company Act of 1940,
 - 12. A common trust fund operated by a bank under section 584(a),
 - 13. A financial institution.
- 14. A middleman known in the investment community as a nominee or custodian, or
- 15. A trust exempt from tax under section 664 or described in section 4947.

The following chart shows types of payments that may be exempt from backup withholding. The chart applies to the exempt payees listed above, 1 through 15.

IF the payment is for	THEN the payment is exempt for
Interest and dividend payments	All exempt payees except for 9
Broker transactions	Exempt payees 1 through 5 and 7 through 13. Also, C corporations.
Barter exchange transactions and patronage dividends	Exempt payees 1 through 5
Payments over \$600 required to be reported and direct sales over \$5,000 1	Generally, exempt payees 1 through 7 ²

¹See Form 1099-MISC, Miscellaneous Income, and its instructions.

Part I. Taxpayer Identification Number (TIN)

Enter your TIN in the appropriate box. If you are a resident alien and you do not have and are not eligible to get an SSN, your TIN is your IRS individual taxpayer identification number (ITIN). Enter it in the social security number box. If you do not have an ITIN, see *How to get a TIN* below.

If you are a sole proprietor and you have an EIN, you may enter either your SSN or EIN. However, the IRS prefers that you use your SSN.

If you are a single-member LLC that is disregarded as an entity separate from its owner (see *Limited Liability Company (LLC)* on page 2), enter the owner's SSN (or EIN, if the owner has one). Do not enter the disregarded entity's EIN. If the LLC is classified as a corporation or partnership, enter the entity's EIN.

Note. See the chart on page 4 for further clarification of name and TIN combinations.

How to get a TIN. If you do not have a TIN, apply for one immediately. To apply for an SSN, get Form SS-5, Application for a Social Security Card, from your local Social Security Administration office or get this form online at www.ssa.gov. You may also get this form by calling 1-800-772-1213. Use Form W-7, Application for IRS Individual Taxpayer Identification Number, to apply for an ITIN, or Form SS-4, Application for Employer Identification Number, to apply for an EIN. You can apply for an EIN online by accessing the IRS website at www.irs.gov/businesses and clicking on Employer Identification Number (EIN) under Starting a Business. You can get Forms W-7 and SS-4 from the IRS by visiting IRS.gov or by calling 1-800-TAX-FORM (1-800-829-3676).

If you are asked to complete Form W-9 but do not have a TIN, write "Applied For" in the space for the TIN, sign and date the form, and give it to the requester. For interest and dividend payments, and certain payments made with respect to readily tradable instruments, generally you will have 60 days to get a TIN and give it to the requester before you are subject to backup withholding on payments. The 60-day rule does not apply to other types of payments. You will be subject to backup withholding on all such payments until you provide your TIN to the requester.

Note. Entering "Applied For" means that you have already applied for a TIN or that you intend to apply for one soon.

Caution: A disregarded domestic entity that has a foreign owner must use the appropriate Form W-8.

Part II. Certification

To establish to the withholding agent that you are a U.S. person, or resident alien, sign Form W-9. You may be requested to sign by the withholding agent even if item 1, below, and items 4 and 5 on page 4 indicate otherwise.

For a joint account, only the person whose TIN is shown in Part I should sign (when required). In the case of a disregarded entity, the person identified on the "Name" line must sign. Exempt payees, see Exempt Payee on page 3.

Signature requirements. Complete the certification as indicated in items 1 through 3, below, and items 4 and 5 on page 4.

- 1. Interest, dividend, and barter exchange accounts opened before 1984 and broker accounts considered active during 1983. You must give your correct TIN, but you do not have to sign the certification.
- 2. Interest, dividend, broker, and barter exchange accounts opened after 1983 and broker accounts considered inactive during 1983. You must sign the certification or backup withholding will apply. If you are subject to backup withholding and you are merely providing your correct TIN to the requester, you must cross out item 2 in the certification before signing the form.
- **3. Real estate transactions.** You must sign the certification. You may cross out item 2 of the certification.

² However, the following payments made to a corporation and reportable on Form 1099-MISC are not exempt from backup withholding: medical and health care payments, attorneys' fees, gross proceeds paid to an attorney, and payments for services paid by a federal executive agency.

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- **4. Other payments.** You must give your correct TIN, but you do not have to sign the certification unless you have been notified that you have previously given an incorrect TIN. "Other payments" include payments made in the course of the requester's trade or business for rents, royalties, goods (other than bills for merchandise), medical and health care services (including payments to corporations), payments to a nonemployee for services, payments to certain fishing boat crew members and fishermen, and gross proceeds paid to attorneys (including payments to corporations).
- 5. Mortgage interest paid by you, acquisition or abandonment of secured property, cancellation of debt, qualified tuition program payments (under section 529), IRA, Coverdell ESA, Archer MSA or HSA contributions or distributions, and pension distributions. You must give your correct TIN, but you do not have to sign the certification.

What Name and Number To Give the Requester

For this type of account:	Give name and SSN of:
1. Individual	The individual
Two or more individuals (joint account)	The actual owner of the account or, if combined funds, the first individual on the account '
Custodian account of a minor (Uniform Gift to Minors Act)	The minor ²
4. a. The usual revocable savings trust (grantor is also trustee) b. So-called trust account that is not a legal or valid trust under state law	The grantor-trustee ¹ The actual owner ¹
Sole proprietorship or disregarded entity owned by an individual	The owner ³
6. Grantor trust filing under Optional Form 1099 Filing Method 1 (see Regulation section 1.671-4(b)(2)(i)(A))	The grantor*
For this type of account:	Give name and EIN of:
7. Disregarded entity not owned by an individual	The owner
8. A valid trust, estate, or pension trust	Legal entity 4
Corporation or LLC electing corporate status on Form 8832 or Form 2553	The corporation
Association, club, religious, charitable, educational, or other tax-exempt organization	The organization
11. Partnership or multi-member LLC	The partnership
12. A broker or registered nominee	The broker or nominee
13. Account with the Department of Agriculture in the name of a public entity (such as a state or local government, school district, or prison) that receives agricultural program payments	The public entity
14. Grantor trust filing under the Form 1041 Filing Method or the Optional Form 1099 Filing Method 2 (see Regulation section 1.671-4(b)(2)(i)(B))	The trust

¹List first and circle the name of the person whose number you furnish. If only one person on a joint account has an SSN, that person's number must be furnished.

Note. If no name is circled when more than one name is listed, the number will be considered to be that of the first name listed.

Secure Your Tax Records from Identity Theft

Identity theft occurs when someone uses your personal information such as your name, social security number (SSN), or other identifying information, without your permission, to commit fraud or other crimes. An identity thief may use your SSN to get a job or may file a tax return using your SSN to receive a refund.

To reduce your risk:

- · Protect your SSN,
- Ensure your employer is protecting your SSN, and
- Be careful when choosing a tax preparer.

If your tax records are affected by identity theft and you receive a notice from the IRS, respond right away to the name and phone number printed on the IRS notice or letter.

If your tax records are not currently affected by identity theft but you think you are at risk due to a lost or stolen purse or wallet, questionable credit card activity or credit report, contact the IRS Identity Theft Hotline at 1-800-908-4490 or submit Form 14039.

For more information, see Publication 4535, Identity Theft Prevention and Victim Assistance.

Victims of identity theft who are experiencing economic harm or a system problem, or are seeking help in resolving tax problems that have not been resolved through normal channels, may be eligible for Taxpayer Advocate Service (TAS) assistance. You can reach TAS by calling the TAS toll-free case intake line at 1-877-777-4778 or TTY/TDD 1-800-829-4059.

Protect yourself from suspicious emails or phishing schemes.

Phishing is the creation and use of email and websites designed to mimic legitimate business emails and websites. The most common act is sending an email to a user falsely claiming to be an established legitimate enterprise in an attempt to scam the user into surrendering private information that will be used for identity theft.

The IRS does not initiate contacts with taxpayers via emails. Also, the IRS does not request personal detailed information through email or ask taxpayers for the PIN numbers, passwords, or similar secret access information for their credit card, bank, or other financial accounts.

If you receive an unsolicited email claiming to be from the IRS, forward this message to *phishing@irs.gov*. You may also report misuse of the IRS name, logo, or other IRS property to the Treasury Inspector General for Tax Administration at 1-800-366-4484. You can forward suspicious emails to the Federal Trade Commission at: *spam@uce.gov* or contact them at *www.ftc.gov/idtheft* or 1-877-IDTHEFT (1-877-438-4338).

Visit IRS.gov to learn more about identity theft and how to reduce your risk.

Privacy Act Notice

Section 6109 of the Internal Revenue Code requires you to provide your correct TIN to persons (including federal agencies) who are required to file information returns with the IRS to report interest, dividends, or certain other income paid to you; mortgage interest you paid; the acquisition or abandonment of secured property; the cancellation of debt; or contributions you made to an IRA, Archer MSA, or HSA. The person collecting this form uses the information on the form to file information returns with the IRS, reporting the above information. Routine uses of this information include giving it to the Department of Justice for civil and criminal litigation and to cities, states, the District of Columbia, and U.S. possessions for use in administering their laws. The information also may be disclosed to other countries under a treaty, to federal and state agencies to enforce civil and criminal laws, or to federal law enforcement and intelligence agencies to combat terrorism. You must provide your TIN whether or not you are required to file a tax return. Under section 3406, payers must generally withhold a percentage of taxable interest, dividend, and certain other payments to a payee who does not give a TIN to the payer. Certain penalties may also apply for providing false or fraudulent information.

² Circle the minor's name and furnish the minor's SSN.

³ You must show your individual name and you may also enter your business or "DBA" name on the "Business name/disregarded entity" name line. You may use either your SSN or EIN (if you have one), but the IRS encourages you to use your SSN.

⁴List first and circle the name of the trust, estate, or pension trust. (Do not furnish the TIN of the personal representative or trustee unless the legal entity itself is not designated in the account title.) Also see *Special rules for partnerships* on page 1.

^{*}Note. Grantor also must provide a Form W-9 to trustee of trust.